



**DEPARTMENT OF CONSUMER AFFAIRS**  
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**DEPARTMENT OF CONSUMER AFFAIRS (DCA)**  
**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)**

**MINUTES OF THE**  
**January 21, 2016**  
**MOBILITY STAKEHOLDER GROUP (MSG) MEETING**

Wyndham Irvine-Orange County Airport  
 17941 Von Karman Avenue  
 Irvine, CA 92614  
 Telephone: (949) 863-1999

Executive Law Offices  
 3175-E Sedona Court  
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**CALL TO ORDER**

Jose Campos, CPA, Chair, called the meeting of the MSG to order at 10:58 a.m. on Thursday, January 21, 2016 at the Wyndham Irvine-Orange County Airport. Mr. Campos requested that the roll be called.

MSG Members

Jose A. Campos, CPA, Chair	Present
Joe Petito, Vice Chair	Absent
Donald Driftmier, CPA	Present
Dominic Franzella	Present
Ed Howard, Esq.	Absent
Michael Savoy, CPA	Present
Stuart Waldman	Absent

CBA Members Observing

Katrina Salazar, CPA, President  
 Alicia Berhow,  
 Herschel Elkins, Esq.  
 George Famalett, CPA  
 Laurence (Larry) Kaplan  
 Kay Ko

Leslie LaManna, CPA  
Xochitl León  
Jian Ou-Yang, CPA  
Mark Silverman, Esq.  
Kathleen Wright, Esq., CPA

Staff and Legal Counsel

Patti Bowers, Executive Officer  
Deanne Pearce, Assistant Executive Officer  
Rich Andres, Information Technology Staff  
Angela Crawford, Business Relations Analyst  
Corey Faiello-Riordan, Board Relations Analyst  
Nooshin Movassaghi, Legislative Analyst  
Dorothy Osgood, Enforcement Supervising ICPA  
Gina Sanchez, Chief, Licensing Division  
Kristy Schieldge, DCA  
Carl Sonne, Deputy Attorney General, Department of Justice  
Matthew Stanley, Information and Planning Officer

Other Participants

Maria Caldwell, National Association of State Boards of Accountancy (NASBA)  
Jason Fox, California Society of Certified Public Accountants  
Stacey Grooms, NASBA  
Shelly Jones, DCA Representative  
Pilar Oñate-Quintana, The Onate Group  
Jon Ross, KP Public Affairs

- I. Approve Minutes of the September 17, 2015 MSG Meeting.

**It was moved by Mr. Savoy; seconded by Mr. Franzella and carried unanimously to approve the minutes of the September 17, 2015 MSG Meeting.**

**Yes: Mr. Campos, Mr. Driftmier, Mr. Franzella, and Mr. Savoy**

**No: None**

**Abstain: None**

**The motion passed.**

- II. The Mobility Stakeholder Group Decision Matrix and Stakeholder Objectives.

Mr. Campos indicated this item is a written report only.

- III. Timeline for Activities Regarding Determination to be Made Pursuant to Business and Professions Code Section 5096.21.

Mr. Campos indicated this item was a written report only.

- IV. Review and Possible Approval of the 2015 Mobility Stakeholder Group Annual Report.

Mr. Stanley provided the MSG an overview of the MSG Annual Report. This report describes the actions and activities the MSG undertook in 2015 to ensure consumer protection.

Mr. Stanley stated the report includes the following topics:

- Message from the Chair
- Background of Mobility
- MSG Responsibilities
- MSG Members
- Legislative and Regulatory Changes to Mobility
- Program Overview
- Statistics for the Mobility Program
- Meetings and Activities
- Future Considerations

Furthermore, the statistics in the report were through November 30, 2015 and will be updated after the end of each calendar year.

**It was moved by Mr. Savoy and seconded by Mr. Driftmier to recommend that the MSG delegate authority to the chair to deal with non-substantial changes with respect to statistics and non grammatical changes and to adopt the final version of the report.**

**Yes: Mr. Campos, Mr. Driftmier, Mr. Franzella, and Mr. Savoy.**

**No: None.**

**Abstain: None.**

**The motion passed.**

- V. Overview of the Findings of the National Association of State Boards of Accountancy Related to Business and Professions Code Section 5096.21(c).

Mr. Stanley indicated that the CBA chose NASBA to research the enforcement practices of each state to assure they are in line with the NASBA Enforcement Guidelines. NASBA outlined what it would be looking at in its Objectives for

Substantial Equivalency Evaluation and had provided the CBA with a list of 27 states that it had identified as substantially equivalent with California being one of the 27. Another 10 were identified, but lacked the required disciplinary history being made available online. The remaining 18 had yet to be identified as substantially equivalent. Because there are 28 states yet to be identified as substantially equivalent or not yet posting disciplinary history on their websites, staff recommended to not take any actions on those at this time. For the 26 states identified by NASBA as substantially equivalent, staff identified three options for how to proceed. For each state, the CBA may approve it as substantially equivalent, request an audit of NASBA's information, or defer action.

Mr. Stanley stated that in regards to conducting audits, NASBA will provide staff with the ability to audit the results of the substantial equivalency identifications by meeting with NASBA to collectively review states as identified by the CBA. This review will include a summary prepared by NASBA of the specific enforcement practices in the selected jurisdictions, and, when deemed necessary by staff, a confidential review of the underlying documents used to make a particular identification at a meeting between NASBA and staff.

Mr. Campos stated that this was a status report on the data collected.

The MSG discussed how the CBA would best provide active state oversight through it's review process of the NASBA findings.

The MSG deferred action on this item.

VI. Discussion Regarding the National Association of State Boards of Accountancy's Activities and CPAVerify.

Mr. Stanley stated that there are still five states – Delaware, Hawaii, Michigan, Utah and Wisconsin – that are not yet participating in the Accountancy Licensee Database (ALD) and CPAVerify.

Ms. Caldwell added that Michigan is participating as of January 21, 2016.

No action was taken on this item.

VII. Discussion Regarding Proposed Agenda Items for the Next Mobility Stakeholder Group Meeting.

Mr. Stanley indicated that staff was proposing only one topic for the next MSG meeting. That topic would focus on progress made regarding the comparison of other states enforcement programs to the NASBA Enforcement Guidelines and what an audit process or further oversight might look like.

No action was taken on this item.

There being no further business, the meeting adjourned at 11:18 a.m.