



DEPARTMENT OF CONSUMER AFFAIRS  
CALIFORNIA BOARD OF ACCOUNTANCY  
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**CBA MISSION:** To protect consumers by ensuring only qualified licensees practice public accountancy in accordance with established professional standards

**CALIFORNIA BOARD OF ACCOUNTANCY (CBA)  
PEER REVIEW OVERSIGHT COMMITTEE (PROC)**

**AMENDED AGENDA**

**PROC MEETING  
NOTICE & AGENDA**

**May 6, 2016  
11:00 a.m. – 1:00 p.m.**

Wyndham Irvine – Orange County Hotel  
17941 Von Karmen Avenue  
Irvine, CA 92614  
Telephone: (949) 863-1999

**Important Notice to the Public**

All times indicated, other than those identified as “time certain,” are approximate and subject to change. Agenda items may be discussed and action taken out of order at the discretion of the PROC Chair. The meeting may be canceled without notice. For verification of the meeting, call (916) 561-4366 or access the CBA website at [www.cba.ca.gov](http://www.cba.ca.gov).

- I. Call to Order, Roll Call, Establishment of Quorum, and Opening Remarks (**Robert Lee, Chair**).
- II. Report of the Committee Chair (**Robert Lee**).
  - A. Approval of the January 29, 2016 PROC Meeting Minutes.
  - B. Report on the March 17-18, 2016 CBA Meeting (**Robert Lee**).
  - C. Discussion of Emerging Issues and/or Changes to the American Institute of Certified Public Accountants’ (AICPA) Peer Review Standards in California Relating to Qualification and Training Requirements for Peer Reviewers, Effective May 1, 2016.
  - D. Discussion on the PROC Summary of Administrative Site Visit Checklist.
- III. Report on PROC Oversight Activities Conducted since January 29, 2016 (**Robert Lee**).
  - A. Report on the February 24, 2016 California Society of Certified Public Accountants’ (CalCPA) Report Acceptance Body (RAB) Meeting.
  - B. Report on the February 25, 2016 CalCPA RAB Meeting.**
  - C. Report on the March 22, 2016 CalCPA RAB Meeting.
  - D. Report on the April 28, 2016 CalCPA RAB Meetings.

- E. Report on the May 3, 2016 AICPA Peer Review Board (PRB) Meeting.
  - F. Report on Peer Review-Related Updates from the AICPA's and National Association of State Boards of Accountancy's (NASBA) Websites.
  - G. Discussion on the PROC Summary of Peer Review Subcommittee RAB and Peer Review Board Meeting Checklists (**Ben Simcox, Enforcement Manager**).
  - H. Assignment of Future PROC Oversight Roles, Responsibilities, Activities, and Assignments (**Siek Run, Enforcement Analyst**).
- IV. Report of the Enforcement Chief (**Dominic Franzella, Chief, Enforcement Division**).
- A. Discussion on the NASBA Compliance Assurance Committee (CAC) Oversight Report on the AICPA National Peer Review Committee (NPRC), Issued February 29, 2016.
  - B. Discussion on the NASBA Response to AICPA Exposure Draft, *Proposed Changes to the AICPA Standards for Performing and Reporting on Peer Reviews for Improving Transparency and Effectiveness of Peer Review*, Issued January 11, 2016.
- V. Closing Business (**Robert Lee**).
- A. Public Comments for Items Not on the Agenda.
  - B. Agenda Items for Future PROC Meetings.
- VI. Adjournment.

Action may be taken on any item on the agenda. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the PROC are open to the public. Government Code section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration by the PROC prior to the PROC taking any action on said item. Members of the public will be provided appropriate opportunities to comment on any issue before the PROC, but the PROC Chair may, at his or her discretion, apportion available time among those who wish to speak. Individuals may appear before the PROC to discuss items not on the agenda; however, the PROC can neither discuss nor take official action on these items at the time of the same meeting. CBA members who are not members of the PROC may be attending the meeting. However, if a majority of members of the full board are present at the PROC meeting, members who are not members of the PROC may attend the meeting only as observers.

The meeting is accessible to individuals with physical disabilities. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Siek Run at (916) 561-4366, or by email at [Siek.Run@cba.ca.gov](mailto:Siek.Run@cba.ca.gov), or send a written request to the CBA office at 2000 Evergreen Street, Suite 250, Sacramento, CA 95815. Providing your request at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.

For further information regarding this meeting, please contact:

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